

# TABLE OF PROFESSIONAL CONDUCT RULES

References are to paragraph numbers.

ACL Training & CPD Rules for Costs Lawyers	5.223
r 3	2.195
r 4	2.195
r 5	2.195
r 7	2.194
r 9	2.194
BSB Handbook	2.115, 3.03
r C3 (Code of Conduct)	3.98, 3.99, 3.103
r C4 (Code of Conduct)	3.97, 3.103
r C5 (Code of Conduct)	3.101, 3.103
r C6 (Code of Conduct)	3.99, 3.103
r C7 (Code of Conduct)	3.106
r C9 (Code of Conduct)	3.105
r C9.2 (Code of Conduct)	3.104
r C10 (Code of Conduct)	3.149
r C15.5 (Code of Conduct)	3.73
r C19 (Code of Conduct)	3.24, 3.159
r C21 (Code of Conduct)	3.28, 3.68
r C22 (Code of Conduct)	3.24, 12.09
r C24 (Code of Conduct)	3.24
r C25 (Code of Conduct)	3.28, 3.103
r C26 (Code of Conduct)	3.28
r C27 (Code of Conduct)	3.28
r C29 (Code of Conduct)	10.29
r C30 (Code of Conduct)	10.29
r C31 (BSB Quality Assurance Scheme for Advocates Rules)	1.36
r C32 (BSB Quality Assurance Scheme for Advocates Rules)	1.36
r C33 (BSB Quality Assurance Scheme for Advocates Rules)	1.36
r C73 (Bar Code of Conduct)	2.141
r C73 (Code of Conduct)	3.152
r C74 (Code of Conduct)	3.152
r C76 (Code of Conduct)	3.153, 10.24, 10.26
r C76(1) (Code of Conduct)	10.27
r C76(2) (Code of Conduct)	10.28
r C77 (Code of Conduct)	3.153, 10.25
r C77(Bar Code of Conduct)	2.131
r C78 (Code of Conduct)	3.153
r C81 (Code of Conduct)	3.29, 12.09
r C82 (Code of Conduct)	3.29
r C88 (Code of Conduct)	12.08
r C89 (Code of Conduct)	3.68, 3.75
r C110 (Code of Conduct)	3.43
r C113 (BSB Code of Conduct)	2.118
r C114 (Bar Code of Conduct)	2.120
r C120 (Bar Code of Conduct)	2.129
r C121 (Bar Code of Conduct)	2.129
r C122 (Bar Code of Conduct)	2.129
r C125 (Code of Conduct)	3.30, 12.09

BSB Handbook—*continued*

r E58	6.174
r E60(1)	6.174
r E60(2)	6.174
r E74	6.179
r E102	6.174
r E104(1)	6.175
r E105	6.174
r E116(2)(c)	6.174
r E123	6.178, 6.179
r E143	6.175
r E144	6.175
r E144(3)	6.175
r E146(2)	6.175
r E189	6.178
r E211	6.180
r Q3 (Bar Training Rules)	2.113
r Q4 (Bar Training Rules)	2.114, 2.115
r Q30 (Bar Training Rules)	2.121
r Q68 (Bar Training Rules)	2.120
r Q69 (Bar Training Rules)	2.121
r Q75 (Bar Training Rules)	2.113
r S8 (BSB Scope of Practice Rules)	2.117
r S11 (BSB Scope of Practice Rules)	2.117
r S16 (Bar Scope of Practice Rules)	2.124
r S17 (Bar Scope of Practice Rules)	2.127
r S19 (Bar Scope of Practice Rules)	2.120
r S20 (Bar Scope of Practice Rules)	2.122
r S21 (Bar Scope of Practice Rules)	2.122
r S22 (Bar Scope of Practice Rules)	2.122
r S24 (Bar Scope of Practice Rules)	2.128, 2.129
r S39 (Bar Scope of Practice Rules)	2.124
r S46.2 (Bar Scope of Practice Rules)	2.120
r S47 (Bar Scope of Practice Rules)	2.126
r S63 (Bar Scope of Practice Rules)	2.125
r S64 (Bar Scope of Practice Rules)	2.120
r S67 (Bar Scope of Practice Rules)	2.125
r S83 (Bar Scope of Practice Rules)	2.138, 2.139, 2.141
r S84 (Bar Scope of Practice Rules)	2.139
r S84 (BSB Scope of Practice Rules)	2.134
r S87 (Bar Scope of Practice Rules)	2.138
r S89 (Bar Scope of Practice Rules)	2.138
r S99 of the Bar Scope of Practice Rules	2.139
rr C79–C85 (Code of Conduct)	3.151
rr C119–rC131(Bar Code of Conduct)	2.129
rr S91–S94 (Bar Scope of Practice Rules)	2.139
BSB Pupillage Handbook	
s 8	2.118
CILEx Application for Fellowship Rules	
r 2	2.168
r 6	2.168
CILEx Associate Prosecutor Rights of Audience and Litigation Certification Rules	2.174
CILEx Authorisation Rules	
r 2.4(a)	2.181
CILEx Code of Conduct	
r 5.7	12.10
CILEx Immigration Certification Rules	2.175
CILEx Membership Requirement Regulations	
reg 4.5	2.167
reg 5.1	2.167
CILEx Probate Certification Rules	
r 3	2.176

CILEx Probate Certification Rules— <i>continued</i>	
r 18	2.178
CILEx Reserved Instrument Activity Certification Rules	
r 3	2.176
r 17	2.178
CILEx Rights of Audience Certification Rules	
r 3	2.169
r 6	2.169
r 7	2.171
r 45	2.171
r 64	2.171
CILEx Rights To Conduct Litigation and Rights Of Audience Certification Rules	
r 3	2.172
r 8	2.173
r 21	2.173
r 33	2.173
r 39	2.173
CILEx Rules of Disciplinary Procedure	5.221
CLC Adjudication Panel Procedure Rules 2013	6.192
CLC Adjudication Panel Rules 2013	6.192
r 11	6.192
r 13	5.217
CLC Adjudication Panel Standing Orders 2013	6.192
CLC Adjudication Panel Terms of Reference	6.192
CLC Code of Conduct	10.39
CLC Compensation Fund Rules 2009	10.213
r 4(2)	10.224
r 13	10.216
r 17	10.217
r 18	10.218
r 19	10.217
r 20	10.219
CLC Handbook	
r 3.6	12.11, 12.12
r 8	12.12
r 9	12.12
r 10	12.12
CLC Licensed Body Licensing Framework	
r 8.10	2.163
r 8.41	2.164
rr 4.5–4.18	2.162
rr 8.35–8.36	2.164
CLC Licensed Conveyancer Licensing Framework	
r 1.c	2.154
r 1.d.i	2.148
r 1.d.ii	2.153
r 2	2.154
r 5	2.155
r 8	2.156
r 10	2.157
CLC Professional Indemnity Insurance Code	
para 9	10.40
para 10	10.40
para 11	10.41
requirement 14	10.42
CLC Recognised Body Recognition Framework	
r 1	2.160
r 4	2.160
r 7	2.161
r 11	2.161
CLC Student Syllabus	2.150
CLC Student Training Framework	
r 4	2.149

CLC Student Training Framework— <i>continued</i>	
r 24	2.151
r 25	2.152
Costs Lawyer Standards Board's Practising Rules for Costs Lawyers	2.196
r 1.1	10.50
r 10	10.50, 10.51
Notarial Contingency Fund (Amendment) Rules 1993	10.227–10.229
Notarial Contingency Fund Rules 1981	10.227–10.229
Notaries (Conduct and Discipline) Rules 2011	6.193
Notaries (Post-Admission) Rules 2009	
r 3(3)	2.192
r 5(2)	2.192
r 5(3)	2.192
Notaries (Practising Certificates) Rules 2012	
r 6	10.56
Notaries (Qualification) Rules 2013	
r 3	2.189
r 6	2.189
r 7.1	2.189
r 10.2	2.190
r 10.3	2.190
r 11.5	2.190
Sch 2	2.189
Notaries Practice Rules 2014	
r 18	12.13, 12.14
Practising Regulations 2011	
reg 4.10	5.42
Practising Rules – Costs Lawyers	5.223
r 1.4	6.205
r 1.5	6.205
r 1.7	6.205
r 7.3	6.206
r 7.7	6.207
r 7.11	6.207
r 7.12	6.207
r 8.4	6.208
r 9.3	6.209, 6.211
Rules of Conduct for Patent Attorneys and Trade Mark Attorneys and Other Regulation	
Persons	
principle 3(i)	10.147
r 17	10.45, 10.147
Solicitor, Barrister, Legal Executive or Licensed Conveyancer – The Second Schedule,	
Notaries (Conduct and Discipline) Rules 2011	10.58
SRA Accounts Rules 2011	5.19
r 21.4	3.140
r 1.2	3.140
r 6.1	3.142
r 7	3.142
r 13.3	3.140
r 13.4	3.140
r 14.1	3.143
r 14.2	3.140
r 14.5	3.145
r 15	3.143
r 16.1(a)	3.143
r 17	3.143
r 18	3.143
r 20.6–9	3.140

SRA Accounts Rules 2011— <i>continued</i>	
r 22–25	3.141
r 26	5.17
r 27	3.140
r 31	5.15, 5.17, 5.18
r 32	3.144
r 34	5.17
rr 22–24	3.140
SRA Authorisation Rules 2011	3.115
r 8.2	2.98, 3.114
r 8.5	2.96
r 8.5(b)	2.75
r 8.5(b)(iii)	2.77
r 8.5(c)	2.82
r 8.5(c)(ii)	2.83
r 8.5(c)(iii)	2.83
r 8.5(d)(iii)	2.77
r 8.5(e)	2.85
r 8.5(f)	2.77
r 8.6	2.96
r 8.6(1)	3.128
r 8.6(e)	2.93
r 9	5.42
r 10	5.42
r 13.2	2.89
r 14.5	5.42
r 15	2.90
r 17	5.42
r 22	5.42
SRA Code of Conduct 2011	4.09
ch 4	3.19, 3.172
ch 5	3.82, 3.172
ch 6	3.19, 3.172
ch 10	3.172
ch 11	3.172
ch 13	3.177
indicative behavior 8.5	3.157
indicative behaviour 1.8	10.76
indicative behaviour 1.13	12.06
indicative behaviour 1.14	12.07
indicative behaviour 1.15	12.07
indicative behaviour 1.16	12.07
indicative behaviour 1.17	12.07
indicative behaviour 1.18	12.07
indicative behaviour 3.1	3.51
indicative behaviour 5.3	3.92
indicative behaviour 5.4	3.83
indicative behaviour 5.5	3.83
indicative behaviour 5.6	3.94
indicative behaviour 5.7	3.89
indicative behaviour 5.10	3.93
indicative behaviour 5.11	3.93
indicative behaviour 5.12	3.95
indicative behaviour 5.13	3.95
Indicative behaviour 9.6	3.137
indicative behaviours 10.1	3.116
indicative behaviours 10.2	3.116
indicative behaviours 10.9	3.117
indicative behaviours 10.10	3.116
outcome 1.1	3.09, 12.06
outcome 1.1–1.3	3.11
outcome 1.2	3.09, 12.06
outcome 1.5	3.09
outcome 1.6	3.09, 3.171

SRA Code of Conduct 2011—*continued*

outcome 1.7–1.10	3.11
outcome 1.8	3.146, 9.54, 10.76
outcome 1.8–1.14	3.171
outcome 1.9	3.10, 3.17
outcome 1.10	3.10, 3.17
outcome 1.12	3.09, 7.122
outcome 1.12–1.14	3.11
outcome 1.13	4.10
outcome 2.5	3.09
outcome 3.1	3.51, 4.10
outcome 3.2	3.51
outcome 3.3	3.51
outcome 3.4	3.52
outcome 3.5	3.51, 3.52, 3.56
outcome 3.6	3.57
outcome 3.7	3.57
outcome 4.1	3.69, 12.247
outcome 4.3	3.72
outcome 4.4	3.52, 3.67
outcome 5.1	3.83, 3.84
outcome 5.2	3.84
outcome 5.3	3.91
outcome 5.4	3.91
outcome 5.5	3.91
outcome 5.6	3.91
outcome 5.7	3.92
outcome 6.3	3.09
outcome 7.1	3.114, 4.10
outcome 7.2	3.114
outcome 7.3	3.114
outcome 7.4	3.114
outcome 7.6	3.127
outcome 7.7	3.124
outcome 7.8	3.124
outcome 7.9	3.125
outcome 7.10	3.125
outcome 7.13	3.146
outcome 8.1	3.154
outcome 8.4	3.11, 3.158
outcome 9.4	3.11, 3.16, 3.137
outcome 9.5	3.11, 3.16, 3.137
outcome 9.6	3.16, 3.132
outcome 9.7	3.137
outcome 9.8	3.133
outcome 10.1	4.10
outcome 10.8	5.19
outcome 10.9	5.19
outcome 10.10	5.19
outcome 11.1	3.89
SRA Compensation Fund Rules 2011	10.185, 10.189
r 3	10.193, 10.200
r 3(4)	10.194, 10.195
r 3.5(b)	10.199
r 4	3.177, 10.193
r 5	10.210
r 6	10.200
r 7	3.177
r 8(c)	10.201
r 10	10.201
r 11.1	10.198
r 13	10.203
r 17	10.202
r 18	10.205

SRA Compensation Fund Rules 2011— <i>continued</i>	
r 19	10.204
r 22.1	10.192
SRA Disciplinary Procedure Rules 2011	5.28, 5.195
r 3.2	5.63
r 5.1	5.29
r 5.2	5.29
r 5.3	5.30
r 7	5.42
r 7.3	5.42
r 9	5.42
r 10	5.42, 5.192
r 10.2	5.194
SRA Indemnity Insurance Rules 2013	9.54
r 1.4	3.146
r 4.1	10.05
r 4.2	10.18
r 4.2(b)	10.20
r 5.2	10.18
r 6.1	10.169
r 17.3	10.21
SRA Practice Framework Rules 2011	
r 1.1	2.57
r 1.2	2.53
r 4	2.57
r 8.1	2.33
r 8.1(a)(ii)	2.35
r 8.5	2.58
r 8.6	2.58
r 9.1	2.36
r 9.2	2.37
r 9.3	2.38
r 10.1	2.54
r 10.2	2.55
r 11	2.39
r 12	2.96, 3.126
r 12.1(b)	2.99
r 13	2.58
r 13.2	2.58
r 14	2.58
r 15	2.96
r 16	2.96
r 18	5.20
SRA Practising Regulations 2011	5.79
reg 10.2(b)	5.42
reg 3	5.80
reg 3.1	5.81, 5.82
reg 3.2	5.82
reg 3.3	5.82
reg 4.7	3.115
reg 4.11	5.42
reg 7	5.42, 5.80, 5.85
reg 7.1	5.85, 5.86
reg 8	5.88
reg 8.6	5.88
SRA Principles 2011	
principle 2	3.09
principle 2.2	3.85
principle 3	3.09
principle 4	3.09
principle 5	3.09, 3.127